

IPO REGISTRATIONS BY SELECT PRIVATE EQUITY-BACKED COMPANIES IN 2018-19 YTD						
Filing Date	Name	Industry	Exchange Where Issue Will Be Listed	Current Amt Filed - sum of all Mkts (\$M)	Investors	Bookrunner(s)
11/28/2018	Moderna	Healthcare	NASDAQ	600	Flagship Pioneering	Morgan Stanley, Goldman Sachs & Co, J.P. Morgan Securities LLC
11/20/2018	Gateway Casinos & Entertainment Ltd	Media & Entertainment	NYSE	100	Catalyst Capital Group, Olga Ilich	Morgan Stanley
11/13/2018	Vapotherm Inc	Healthcare	NYSE	58	Morgenthaler Ventures, QuestMark Partners, Perceptive, Redmile Group, Gilde Healthcare Partners, SightLine Partners	Morgan Stanley, Goldman Sachs
10/25/2018	StoneCo. Ltd.	High Technology	NASDAQ	50	Madrone Capital Partners, Tiger Global	Goldman Sachs & Co, JP Morgan Securities LLC, Citigroup Global Markets
10/24/2018	YETI Coolers LLC	Consumer Products and Services	NYSE	288	Cortec Group	BofA Merrill Lynch, Morgan Stanley & Co, Jefferies
10/18/2018	SolarWinds Worldwide	High Technology	NYSE	500	Silver Lake Partners, Thoma Bravo	Goldman Sachs & Co, JP Morgan Securities LLC, Morgan Stanley & Co, Credit Suisse Securities
10/11/2018	Anaplan Inc	High Technology	NYSE	100	Brookside International, Draper Fisher Jurvetson International Inc, Granite Ventures LLC, Harmony Partners, Meritech Capital Partners, Sands Capital Management LLC, Shasta Ventures LP, Founders Circle Capital, Premji Invest	Goldman Sachs & Co Morgan Stanley & Co
10/05/2018	Axonics Modulation Tech Inc	Healthcare	NASDAQ	86	Silicon Valley Bank, Andera Partners, Longitude Capital Management, Glide Healthcare Partners	BofA Merrill Lynch, Morgan Stanley & Co, Wells Fargo Securities, SunTrust Robinson Humphrey
10/05/2018	Bain Capital Specialty Finance, Inc	Financials	NYSE	100	Bain Capital	BofA Merrill Lynch, Goldman Sachs & Co, Morgan Stanley & Co, Citigroup
Source: Thomson One; Buyouts; Crunchbase; SEC						